

1 Introduction

This Supplier Form is required to be completed by all suppliers to RedViking. It must be renewed on an annual basis. If, at any time after submission, you determine that any information is erroneous or has changed, you must immediately notify RedViking at Compliance@redviking.com.

RedViking partners with suppliers of all sizes and capacities. This information helps RedViking to determine your capacity for regulatory and legal compliance. Suppliers are not automatically disqualified if the supplier does not have the capacity to meet requirements for export compliance or cybersecurity. Please indicate 'N/A' for any questions or fields that do not apply. Direct any questions regarding this form to Compliance@RedViking.com.

2 General Information

Company Legal Name _____
DBA (If Applicable) _____
Mailing Address, City, State, ZIP _____
Billing Address (If different than mailing address) _____
Shipping Address (If different than mailing address) _____
Website _____

3 Contact Information

Sales Rep Name _____ Title _____
Phone _____ Email _____
Accounts Payable Name _____ Title _____
Phone _____ Email _____
Export Compliance _____ Title _____
Phone _____ Email _____
CEO or President _____ Title _____
Phone _____ Email _____

4 Corporate Information

Corporate entity type and State of incorporation/registration (i.e. Michigan Corp.) _____
Public or Private Company _____
Years In Business _____ Annual Sales \$ _____ Number of Employees _____
Dun & Bradstreet No. _____ Industry Code _____ Cage Code _____
Union Shop? Yes No Union Name _____ Contract Exiration _____
Does Your Company Have Any International Operations? Yes No
If yes, list all countries in which offices are located _____

Location of corporate headquarters _____
Insurance Limits:
Employers Liability _____ General Liability _____ Errors and Omissions _____

5 Business Certification (Based on SBA) – Check all that apply

- | | | | |
|---|--|--|--|
| <input type="checkbox"/> Large Business | <input type="checkbox"/> Small Business | <input type="checkbox"/> Certified SBA (8a) | <input type="checkbox"/> Small Business, Disadvantaged |
| <input type="checkbox"/> Veteran Owned | <input type="checkbox"/> Service Disable Veteran Owned | <input type="checkbox"/> Native American Owned | |
| <input type="checkbox"/> Minority Owned | <input type="checkbox"/> Woman Owned | <input type="checkbox"/> Foreign Business | <input type="checkbox"/> Hub Zone |

6 Quality and Environmental Standards

NOTE: It is not necessary that a company have all certifications listed below to do business with RedViking. However, a company without the minimum of ISO 9001 and ISO 14001 certification, may be subject to on-site inspections and reviews of supplier activities or may be limited in the amount of work or the size of the purchase order issued.

Standard	Certification & Registration Number	Certification Expiration Date	Years Certified
ISO 9001			
ISO 14001			
ISO 17025			
TS 16949			
AS 9100			
Other 1			
Other 2			

Does your company currently comply with ISO 9001 element 7.4, or ISO 14001, which states:
 “All purchased materials used in part manufacture shall satisfy current governmental and safety constraints on restricted toxic hazardous materials; as well as environmental, electrical and electromagnetic considerations applicable to the country of manufacture and sale.”

Yes No

Do any of your products require conflict mineral reporting? Yes No

7 Export Compliance

Does your company have export compliance policies and procedures? Yes No

Is your company registered with the Directorate of Defense Trade Controls (DDTC)? Yes No

Does your company employ or hire any foreign national employees, contractors or suppliers? Yes No

8 Cyber Security

Does your company you have cyber security policies and procedures to protect data, technology and infrastructure? Yes No

Does your company have an Acceptable Use Policy for accessing your company’s emails, accounts, technology and devices? Yes No

Are employees required to complete cybersecurity awareness training? Yes No

If Yes, how often? _____

If your company outsources any of its activities, are your contractors required to abide by your cyber security policies? Yes No

Is your company NIST SP 800-171 compliant? Yes No

If yes, has your company submitted its compliance audit on the SPRS (Supplier Performance Risk System) website? Yes No

NOTE: It may be necessary to submit proof of NIST SP 800-171 audit results to RV.

Does your company intend to become CMMC (Cybersecurity Maturity Model Certification) compliant? Yes No

If yes, to what level (1-Foundational, 2-Advance or 3-Expert)? _____

If yes, will your company obtain a third-party CMMC assessment (when available)? _____

CMMC Cert Number _____ Accrediting Body _____ Expiration Date _____

Is your company data stored on Company server(s) Cloud-based storage service

If company server(s), where are the servers physically located? _____

If cloud-based storage, who is the hosting service? _____

If cloud-based, is the hosting service FedRAMP compliant? _____

9 Ethical / Other

Do you require all your employees, contractors and suppliers to sign NDAs? Yes No

How does your company ensure employees, contractors and suppliers will not share proprietary and confidential information? _____

Does your company have a Code of Conduct policy (CCP) or plan? Yes No

If yes, does your company's CCP comply, at a minimum, with the terms of RedViking's CCP? Yes No

NOTE: If your company does not have a CCP, please review RedViking's Supplier CCP on the RedViking website supplier page and acknowledge that your company will abide by its terms.

Has the applicant (person filling out this form), chief executive officer, president, vice-president(s), secretary, partner, member, other senior officers or officials (e.g., comptroller, treasurer, general counsel) or any member of the board of directors currently or every been the subject of an indictment or been otherwise charged (e.g., by criminal information in lieu of indictment) for, or been convicted of, violating any U.S. criminal statutes?

Yes No

Is the applicant, chief executive officer, president, vice-president(s), secretary, partner, member, other senior officers or officials (e.g., comptroller, treasurer, general counsel) or any member of the board of directors ineligible to contract with, or to receive a license or other approval to temporarily import or export defense articles or defense services from any agency of the U.S. Government?

Yes No

10 Signatures and Submittal

By signing this form you are agreeing that the information provided herein is accurate and complete to the best of your knowledge. Any misrepresentation found on this form may be grounds for losing/forfeiting a PO with RedViking and doing any future work with RedViking.

Prepared by:

Name _____ Title _____

Signature _____ Date _____